

# Imperfect Gaps in Gap-ETH and PCPs

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# Introduction

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# Main Motivations

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We study the role of perfect completeness:

- Hardness/Easiness of finding approximate solutions to satisfiable CSPs as compared to unsatisfiable ones?
- Is it easier to build PCPs with imperfect completeness as compared to perfect completeness?

# Gap-ETH and Perfect Completeness

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We will also refer to this as Gap- $k$ -CSP.

For this presentation, we will think of a Gap-CSPs on  $n$  variables and  $m = O(n)$  clauses.

## Problem (1)

*Is MAX 3-SAT(1, .98) “easier” than MAX 3-SAT(.99, .97)?*

# The Gap-ETH Conjecture

## Conjecture (Gap-ETH(Dinur'16 and MR'17))

*For some constant  $\tau > 0$ , MAX 3-SAT( $1, 1 - \tau$ ) does not have a  $2^{o(n)}$  randomized algorithm.*



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## Conjecture (Gap-ETH without perfect completeness)

*For some constants  $\epsilon > \gamma > 0$ , MAX 3-SAT( $1 - \gamma, 1 - \epsilon$ ) does not have a  $2^{o(n)}$  randomized algorithm.*

# Equivalence of Gap-ETH conjectures

## Theorem

*The Gap-ETH conjecture is equivalent to the Gap-ETH conjecture without perfect completeness i.e.*

*For all constants  $\tau > 0$ , MAX 3-SAT(1, 1 -  $\tau$ ) has a  $2^{o(n)}$  time algorithm  $\iff$  for all constants  $\epsilon > \gamma > 0$ , MAX 3-SAT(1 -  $\gamma$ , 1 -  $\epsilon$ ) has a  $2^{o(n)}$  time algorithm.*

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We will present:

## Theorem

*If for all constants  $\tau > 0$ , MAX 3-SAT( $1, 1 - \tau$ ) has a  $2^{o(n)}$  time randomized algorithm, then for all constants  $\delta > 0$ , MAX 3-SAT( $.99, .97$ ) has a  $2^{\delta n}$  time randomized algorithm.*

## Lemma

*For large enough constant  $k$ , there exists a randomized reduction from MAX 3-SAT(.99, .97) on  $n$  variables and  $O(n)$  clauses to MAX 3k-CSP(1, 1/2) on  $n$  variables and  $O(n)$  clauses, such that:*

- *YES instances reduce to YES instances with probability  $\geq 2^{-n/k}$ .*
- *NO instances reduce to NO instances with probability  $\geq 1 - 2^{-n}$ .*

## Getting Perfect Completeness starting from a YES case

$x_1$

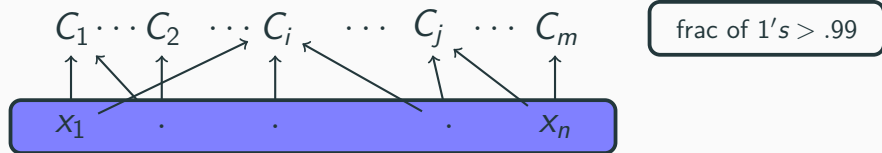
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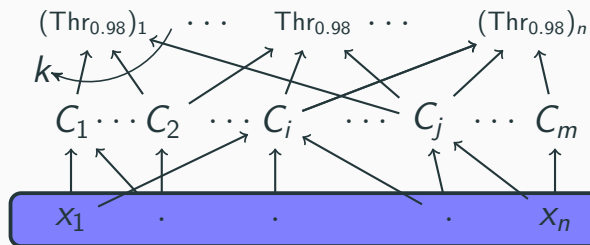
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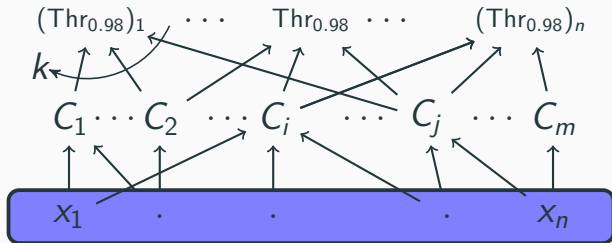
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frac of 1's  $> .99$

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$$\Pr[\text{Thr}_{.98} = 0] \leq 2^{-\Omega(k)}$$

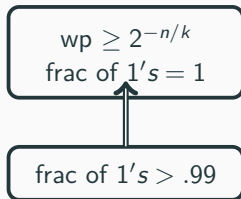
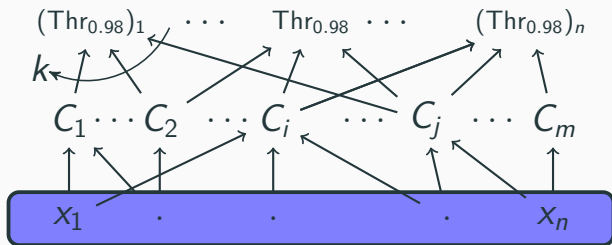


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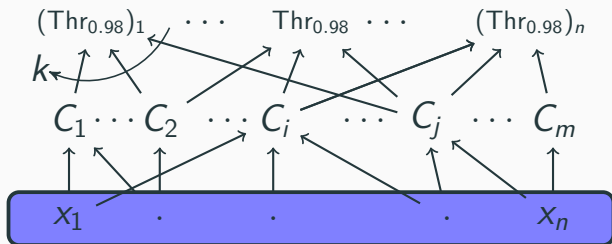
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wp  $\geq 2^{-n/k}$   
frac of 1's = 1

frac of 1's  $> .99$

Note that this gives us a  $3k$ -CSP.

## Soundness starting from a NO case

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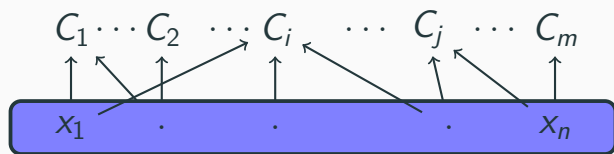
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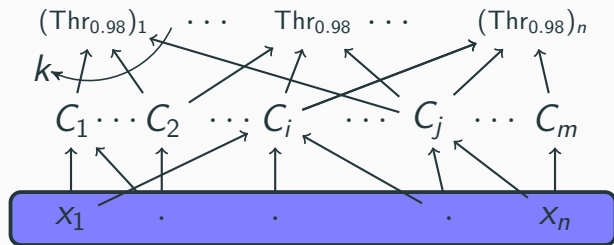
$x_n$

## Soundness starting from a NO case



frac of 1's  $< .97$

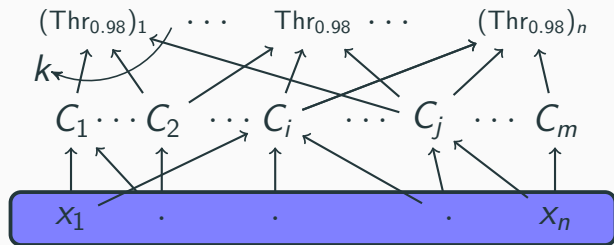
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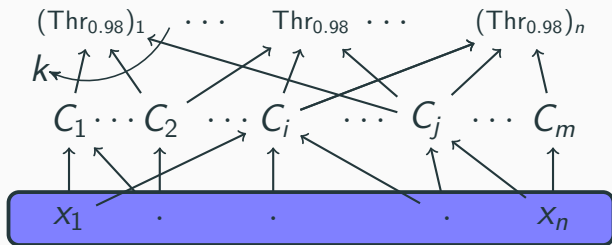
$$\Pr[\text{Thr}_{0.98} = 1] \leq 2^{-\Omega(k)}$$



frac of 1's < .97

# Soundness starting from a NO case

$$\Pr[\text{Thr}_{.98} = 1] \leq 2^{-\Omega(k)}$$



wp  $\geq 1 - 2^{-n}$   
frac of 1's  $< 1/2$

frac of 1's  $< .97$

# Proof Sketch

## Lemma

*For large enough constant  $k$ , there exists a randomized reduction from MAX 3-SAT(.99, .97) on  $n$  variables and  $O(n)$  clauses to MAX  $3k$ -CSP(1, 1/2) on  $n$  variables and  $O(n)$  clauses, such that:*

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  - Total running time  $2^{n/k}n^2 \cdot 2^{o(n')} = 2^{n/k+o(n)} \leq 2^{\delta n}$  for large enough constant  $k$ .

## Derandomization using samplers

- One-sided derandomization using samplers. We use LLL to handle the completeness case.

# PCPs and Perfect Completeness

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## Definition of PCPs

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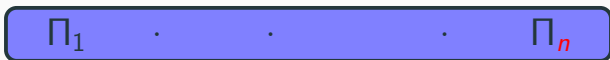
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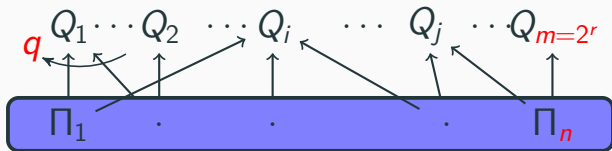


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- Linear-sized PCP with long queries [BKKMS'13]:

$$NTIME[O(n)] \subseteq PCP_{1,1/2}[\log n + O_\epsilon(1), n^\epsilon],$$

with a  $O_\epsilon(n)$  proof size.

# Linear-Sized PCP conjecture

## Conjecture (Linear-sized PCP conjecture)

$NTIME[O(n)]$  has linear-sized PCPs, i.e.

$NTIME[O(n)] \subseteq PCP_{1,s}[\log n + O(1), O(1)]$  for some constant  $s < 1$ .



# Our Question

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- What is the role of completeness in PCPs? Can one build better PCPs with imperfect completeness?

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- What is the role of completeness in PCPs? Can one build better PCPs with imperfect completeness?
- Can we convert an imperfect PCP to a perfect completeness PCP in a blackbox manner?

# Ways to transfer gap

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- One can just apply the best known PCPs for  $\text{NTIME}[O(n)]$ , for example  
 $\text{MAX 3-SAT}(.99, .97) \in \text{PCP}_{1,1-\Omega(1)}(\log n + O(\log \log n), O(1))$

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$$\text{PCP}_{c,s}[r, q] \leq_R \text{PCP}_{1,rs/c}[r, qr/c].$$





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We show a blackbox way to transfer a PCP with imperfect completeness to one with perfect completeness, while incurring a small loss in the query complexity, but maintaining other parameters of the original PCP.

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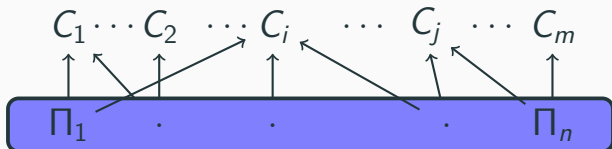
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We will show how to build a new proof system (specify proof bits and verifier queries) for  $L$  that has completeness 1 and soundness  $< 1$ .

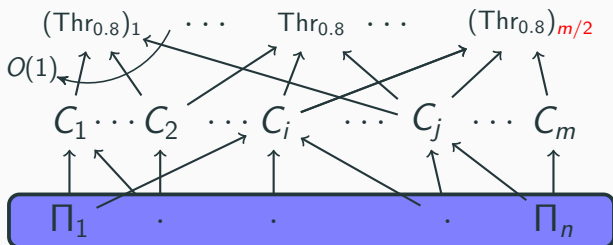
## A Robust Circuit using Thresholds



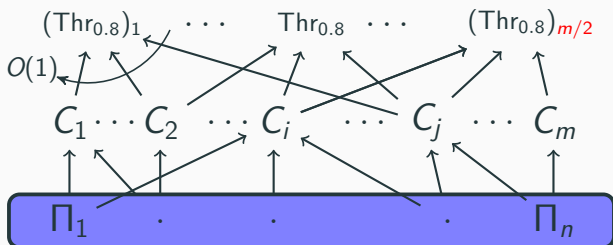
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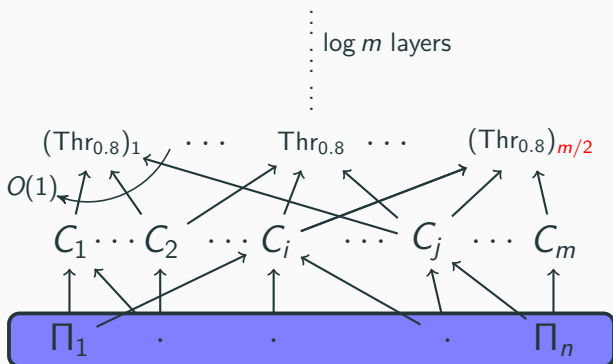
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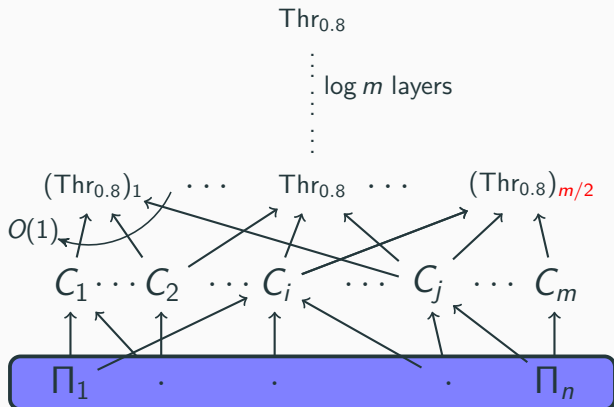


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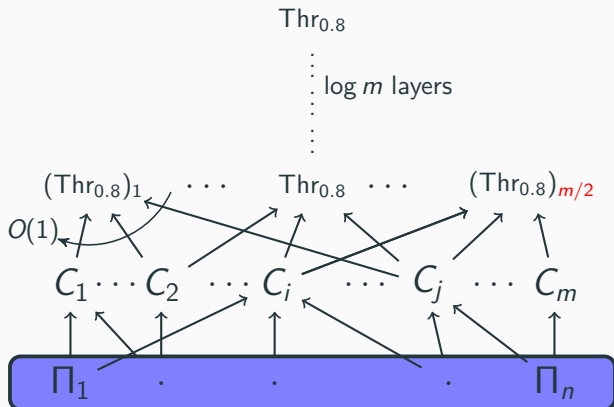




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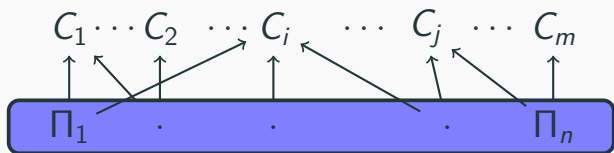


We can derandomize this using samplers.

## Increasing fraction of 1's

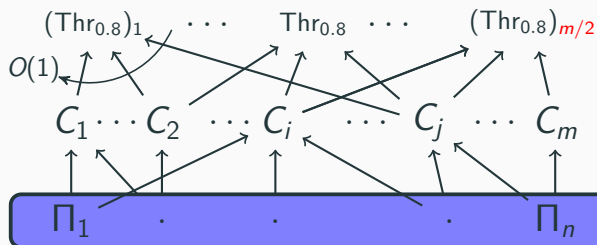
$$\prod_1 \cdot \cdot \cdot \prod_n$$

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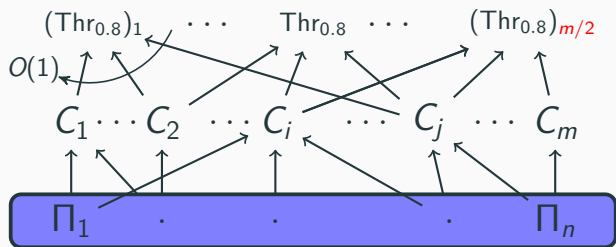
frac of 0's < .1

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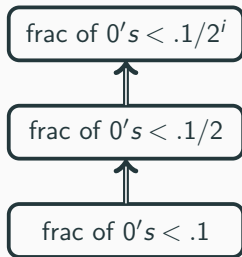
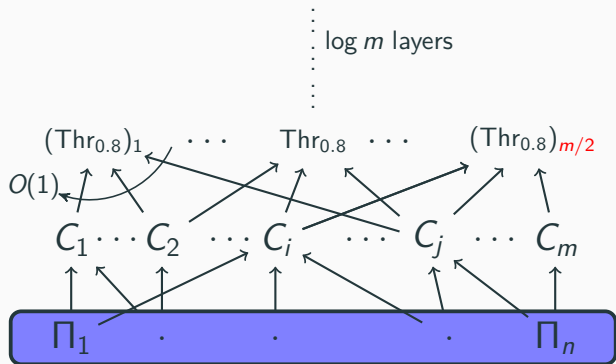
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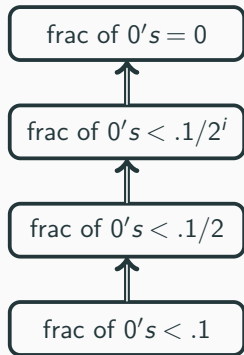
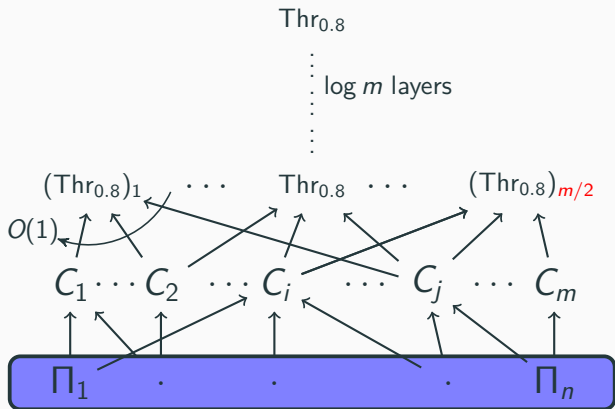
frac of 0's < .1/2

frac of 0's < .1

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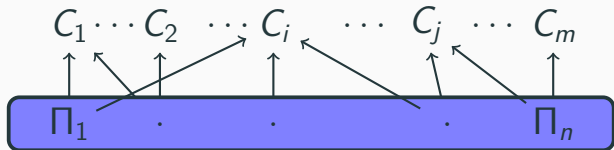


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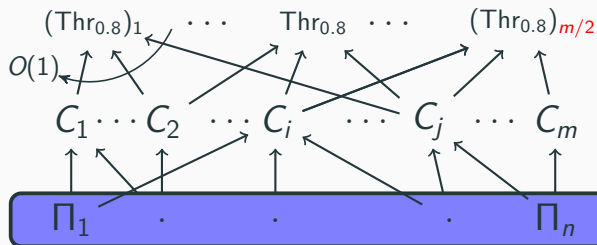
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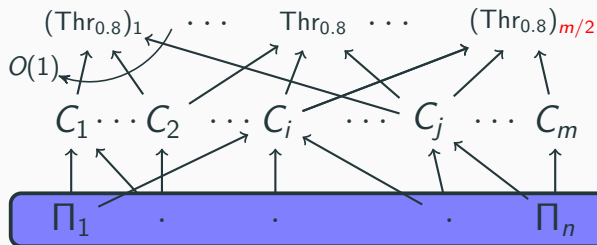
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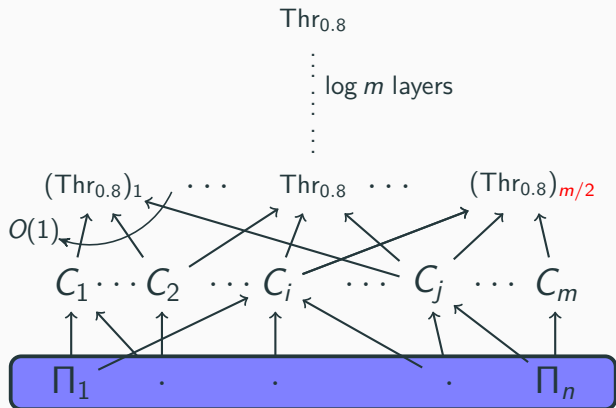
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frac of 1's  $< 6/10$

frac of 1's  $< 7/10$

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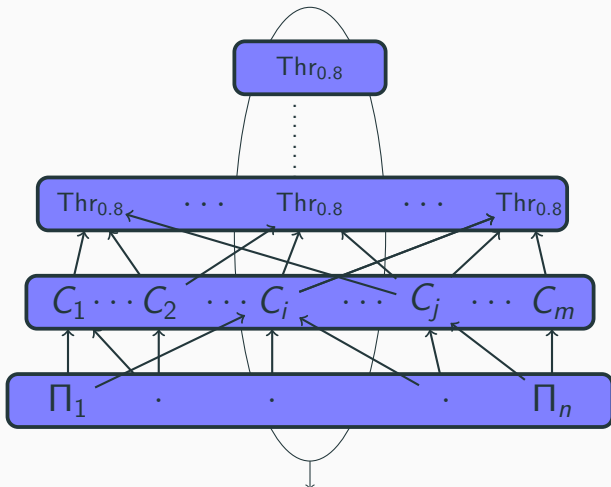


frac of 1's  $< 6/10$

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# Final PCP



In a single query, we will verify all included gates:  
check whether each gate's output is consistent with its inputs  
and the top gate evaluates to 1

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- Soundness:  $9/10$
- Queries:  $q + O(\log m) = q + O(r)$
- Randomness complexity:  $r$  (stays the same)
- Size:  $O(m)$



## Theorem

*For all constants,  $c, s, s' \in (0, 1)$  with  $s < c$ , we have that,*

$$PCP_{c,s}[r, q] \subseteq PCP_{1,s'}[r + O(1), q + O(r)].$$



# Main theorem

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We get the following result for  $NTIME[O(n)]$ :

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For all constants,  $c, s, s'$ , if  $NTIME[O(n)] \subseteq PCP_{c,s}[\log n + O(1), q]$ ,  
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While the current best known linear-sized PCP is:

$$NTIME[O(n)] \subseteq PCP_{1,s}[\log n + O_\epsilon(1), n^\epsilon],$$

# Conclusion

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- Our results imply that building linear-sized PCPs with minimal queries for  $\text{NTIME}[O(n)]$  and perfect completeness should be nearly as hard (or easy!) as linear-sized PCPs with minimal queries for  $\text{NTIME}[O(n)]$  and imperfect completeness.

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- We show the equivalence of Gap-ETH under perfect and imperfect completeness, i.e. Max-3SAT with perfect completeness has  $2^{o(n)}$  randomized algorithms iff Max-3SAT with imperfect completeness has  $2^{o(n)}$  algorithms.



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If  $\text{NTIME}[O(n)] \subseteq \text{PCP}_{c,s}[\log n, O(1)]$ , then  
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- Can we derandomize the reduction from Gap-ETH without perfect completeness to Gap-ETH?
- Blackbox reductions to get better parameters for MAX  $k$ -CSP?  
Currently we know that MAX  $k$ -CSP( $1, 2^{O(k^{1/3})}/2^k$ ) for satisfiable instances whereas for unsatisfiable instances MAX  $k$ -CSP( $1 - \epsilon, 2k/2^k$ ) (which is tight up to constant factors).

**Thanks! Questions?**



M. Bellare, O. Goldreich, and M. Sudan.

**Free bits, pcps, and nonapproximability-towards tight results.**

*SIAM J. Comput.*, 27(3):804–915, 1998.