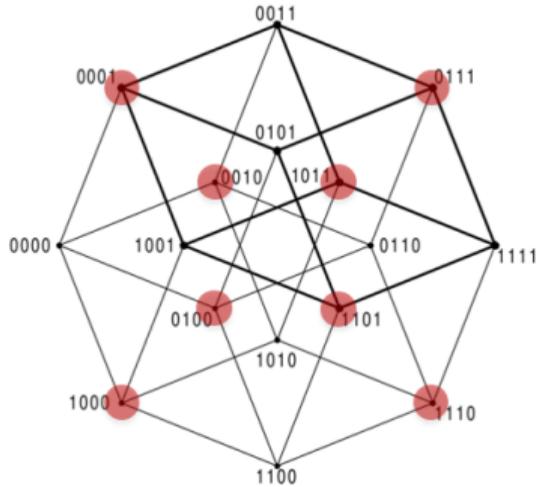


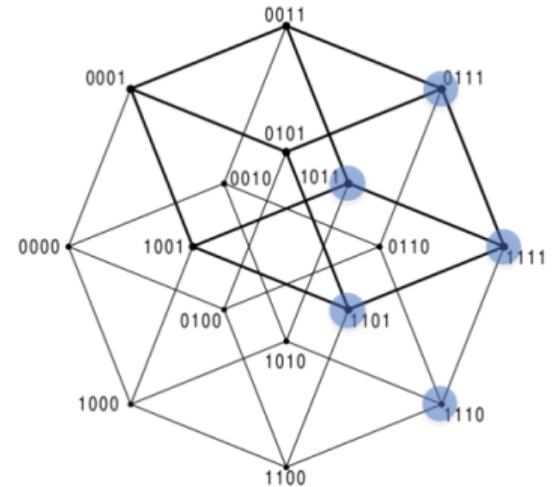
Parity Helps to Compute Majority



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Computational Complexity Conference 2019

Background and Motivation

- ▶ AC^0 : Bounded-depth circuits with **AND**, **OR**, **NOT** gates.
- ▶ A model that captures **fast parallel computations**.
- ▶ Close connections to **logic** and **finite model theory**.

We know a lot about AC^0

- ▶ Explicit lower bounds: $2^{\Omega(n^{1/(d-1)})}$ for Parity_n and Majority_n .
- ▶ Lower bound techniques have led to several advances:
 - Learning Algorithms for AC^0 using random examples.
 - PRGs for AC^0 with poly-log seed length.
 - Exponential lower bounds for AC^0 -Frege.

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This talk: $AC^0[\oplus]$ circuits

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- ▶ Parities can be **very helpful**: error-correcting codes, hash functions, GF(2)-polynomials, combinatorial designs, ...
- ▶ Explicit lower bounds: $2^{\Omega(n^{1/2(d-1)})}$ for Majority $_n$.
- ▶ AC^0 and $AC^0[\oplus]$ are significantly different circuit classes:
Example: depth hierarchy for AC^0 , depth collapse for $AC^0[\oplus]$.

- ▶ Many fundamental questions remain wide open for $AC^0[\oplus]$.
 - Can we learn $AC^0[\oplus]$ using random examples?
 - Are there PRGs of seed length $o(n)$?
 - Does every tautology admit a short $AC^0[\oplus]$ -Frege proof?

► Our primitive understanding of $AC^0[\oplus]$ is reflected in part on existing lower bounds:

- Majority is one of the most studied boolean functions.
- Depth- d AC^0 complexity of Majority is $2^{\tilde{\Theta}(n^{1/(d-1)})}$ (1980's).
- Best known $AC^0[\oplus]$ lower bound is $2^{\Omega(n^{1/2(d-1)})}$ for any $f \in NP$.

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Example: “a layer of parities away from NC^1 lower bounds”.
4. Better understanding of circuit complexity of a class \mathcal{C} often leads to progress w.r.t. related questions.

Results

- ▶ Neither the trivial upper bound of $2^{\tilde{O}(n^{1/(d-1)})}$ gates nor the Razborov-Smolensky lower bound $2^{\Omega(n^{1/2(d-1)})}$ is tight.

Our new upper and lower bounds for $AC^0[\oplus]$ show that:

- ▶ Parity gates can speedup the computation of Majority for each large depth $d \in \mathbb{N}$.
- ▶ Indeed, the AC^0 and $AC^0[\oplus]$ complexities are similar at depth 3, but parity gates significantly help at depth 4.

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Divide-and-conquer is not optimal for $AC^0[\oplus]$

Recall: For $d \geq 2$, the depth- d AC^0 complexity of Majority $_n$ is $2^{\tilde{\Theta}(n^{1/(d-1)})}$.

Theorem 1. Let $d \geq 5$ be an integer. Majority on n bits can be computed by depth- d $AC^0[\oplus]$ circuits of size $2^{\tilde{O}(n^{\frac{2}{3} \cdot \frac{1}{d-4}})}$.

► A similar upper bound holds for symmetric functions and linear threshold functions.

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New lower bound + extension of upper bound techniques yield:

Corollary 1.

The depth-3 $AC^0[\oplus]$ circuit size complexity of Majority is $2^{\tilde{\Theta}(n^{1/2})}$.

The depth-4 $AC^0[\oplus]$ circuit size complexity of Majority is $2^{\tilde{\Theta}(n^{1/4})}$.

- ▶ Parity gates significantly help at depth 4 but not at depth 3.

Techniques: $AC^0[\oplus]$ Upper Bounds

Theorem 1. Let $d \geq 5$ be an integer. Majority on n bits can be computed by depth- d $AC^0[\oplus]$ circuits of size $2^{\tilde{O}\left(n^{\frac{2}{3} \cdot \frac{1}{(d-4)}}\right)}$.

$$E_i(y) = \begin{cases} 1 & \text{if } |y|_1 = i, \\ 0 & \text{otherwise.} \end{cases}$$

$$D_{i,j}(y) = \begin{cases} 1 & \text{if } |y|_1 = i, \\ 0 & \text{if } |y|_1 = j. \end{cases}$$

Goal: $AC^0[\oplus]$ circuits of size $\approx 2^{n^{2/3d}}$ for all $D_{i,j}$, $0 \leq i \neq j \leq n$.

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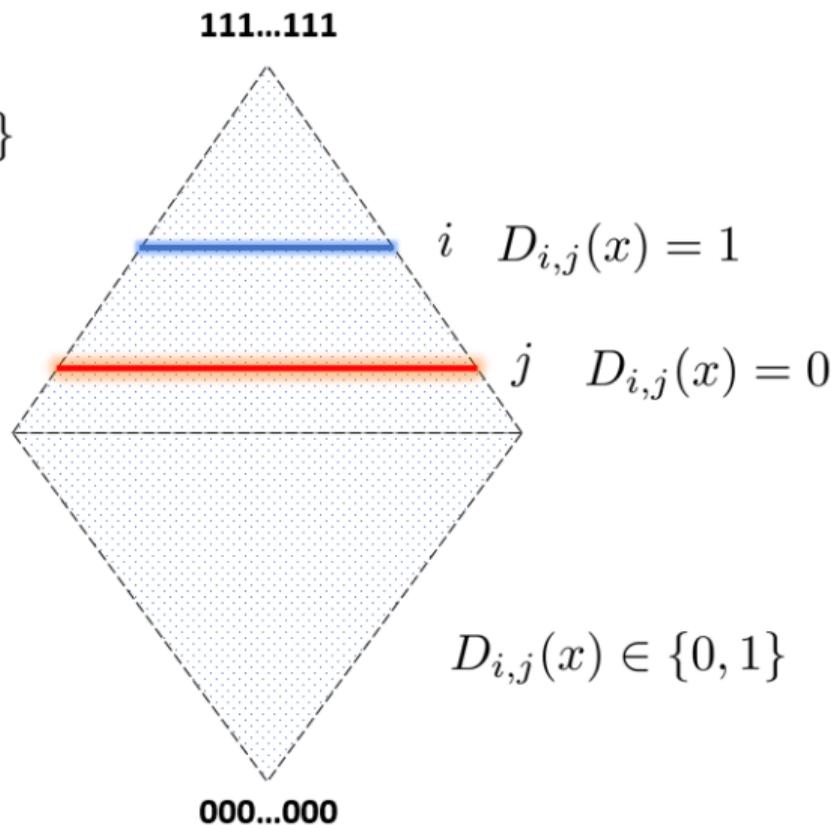
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The $D_{i,j}$ partial boolean function

$$D_{i,j}: \{0,1\}^n \rightarrow \{0,1\}$$

$$i, j \in [n]$$



► We consider the value $|i - j|$:

– **Small regime:** $|i - j| \leq n^{1/3}$.

We use an “**algebraic**” construction. This circuit relies on a \mathbb{F}_2 polynomial, divide-and-conquer, and needs \oplus gates.

– **Large regime:** $|i - j| > n^{1/3}$.

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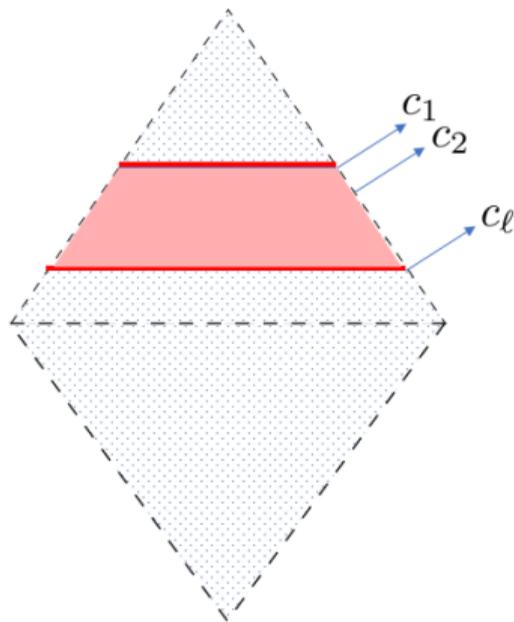
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$|i - j| \leq n^{1/3}$: The algebraic construction I

Lemma [AW15]:



$$c_1, c_2, \dots, c_\ell \in \mathbb{Z}$$

There is a polynomial $Q: \{0, 1\}^n \rightarrow \mathbb{Z}$ such that:

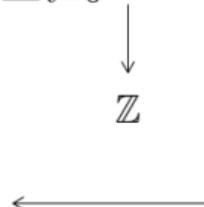
$Q(x) = c_i$ when $|x|_1$ agrees with corresponding layer.

Moreover,

$$\deg(Q) \leq \ell - 1 \quad \text{and} \quad Q(x) = \sum_{t=0}^{\ell-1} a_t \cdot Q_t(x)$$

$$Q_t(x) = \sum_{S \in \binom{[n]}{t}} \prod_{j \in S} x_j$$

t -th symmetric elementary polynomial



$|i - j| \leq n^{1/3}$: The algebraic construction II

- ▶ $Q(x_1, \dots, x_n)$ is defined over \mathbb{Z} . We take a homomorphism $\psi: \mathbb{Z} \rightarrow \mathbb{F}_2$.

$$P(x) = \sum_{t=0}^{\ell-1} b_t \cdot P_t(x) \text{ over } \mathbb{F}_2, \text{ where } \ell = (i - j) + 1.$$

- ▶ $P(x)$ computes $D_{i,j}(x)$ and has degree at most $\ell \leq n^{1/3}$.

– We would like to compute $P(x)$ in depth- d $AC^0[\oplus]$.

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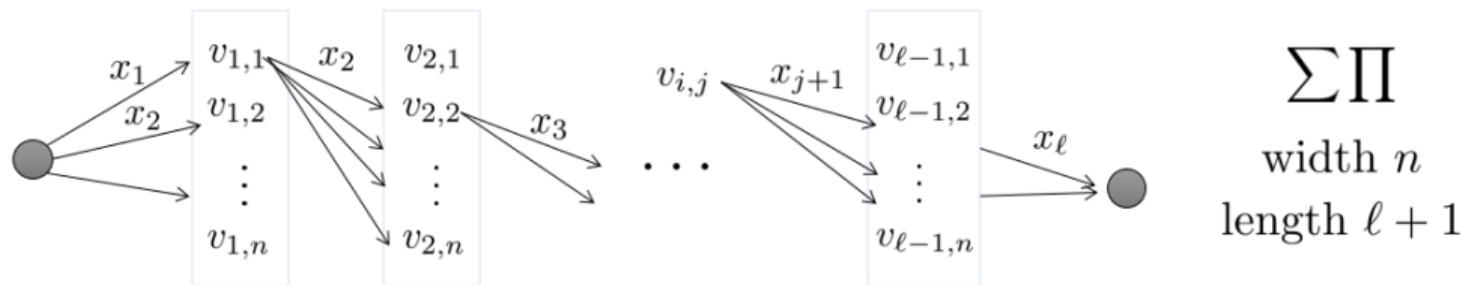
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$$P_\ell(x_1, \dots, x_n) = \sum_{S \in \binom{[n]}{\ell}} \prod_{j \in S} x_j$$

We simulate P_ℓ using an **algebraic branching program**:



Divide-and-conquer approach similar to depth- d circuit for STCONN:

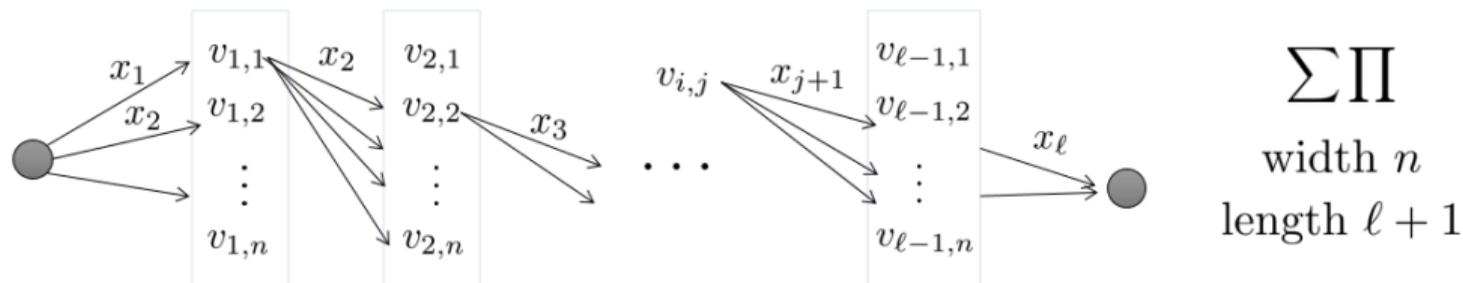
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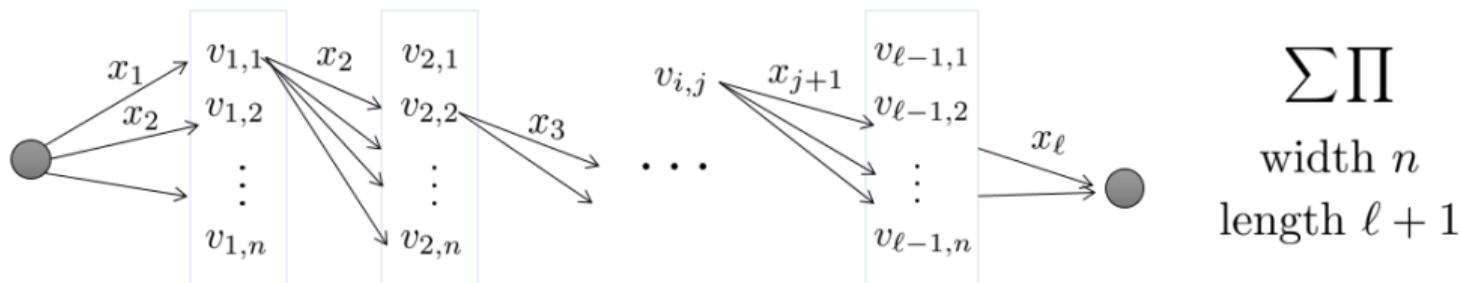
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$|i - j| > n^{1/3}$: The combinatorial construction

By moving from n to $\Theta(n)$ input bits, we can assume i and j are equally spaced from middle layer.

Let $i = n/2 + t$ and $j = n/2 - t$. Enough to compute *Approximate Majority / Coin Problem*.

Elegant construction [OW07], [Ama09], [RS17]:

Can be done by depth- d AC^0 circuits of size roughly $2^{(n/t)^{1/d}}$.

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- ▶ In depth $d = 4$, careful depth control + new ingredient: *randomly splitting variables into buckets*.
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Techniques: $AC^0[\oplus]$ Lower Bounds

Theorem 2. Let $d \geq 3$ be an integer. Majority on n bits requires depth- d $AC^0[\oplus]$ circuits of size $2^{\Omega(n^{1/(2d-4)})}$.

Recall: Razborov-Smolensky shows a $2^{\Omega(n^{1/(2d-2)})}$ lower bound.

► Intuition: How to save **two layers of gates** in the polynomial approximation method?

► Degree Upper Bound:

Probabilistic polynomial P over \mathbb{F}_2 correct on each input w.h.p.

AND, OR, NOT, PARITY: error ε and degree $\log(1/\varepsilon)$

Size- s depth- d $AC^0[\oplus]$: $\deg(P) \approx (\log s)^{d-1}$ and error $\varepsilon \leq 1/50$.

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Putting together the approximate degree bounds:

$$(\log s)^{d-1} \geq \sqrt{n \cdot \log(1/\varepsilon)}, \quad \varepsilon = 1/50.$$

This implies that $s \geq 2^{\Omega(n^{1/(2d-2)})}$.

(The RS lower bound is maximized when $\varepsilon = \text{constant}$.)

We follow Razborov-Smolensky, with **two new ideas**.

Idea 1. Exploit error $\varepsilon = 1/50$ of polynomial approximator:

- Error is **one-sided** and $\leq 1/\log s$ on say $C^{-1}(1)$.
- Hope to exploit stronger degree lower bound of $\sqrt{n \cdot \log(1/\varepsilon)}$.

Idea 2. Random restrictions for $AC^0[\oplus]$ circuits:

- Prove that w.h.p. a random restriction leads to depth-2 subcircuits of smaller approximate degree. Can do better than $(\log s)^2$ on bottom layers.

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First idea: One-sided approximations

- ▶ We approximate every non-output gate to error $\leq 1/s^2$.
- ▶ By union bound, every input wire of output gate is correct (except with prob. $\leq 1/s$).
- ▶ Approximation method over OR gate is one-sided (“random parities”): **zero inputs to OR gate always produce zero.**

First idea: Stronger degree lower bound

- ▶ Smolensky's approximate degree lower bound:

$$\deg_{\varepsilon}(\text{Majority}_n) = \Omega(\sqrt{n \cdot \log(1/\varepsilon)}).$$

Can we maintain this lower bound when error on $\text{Majority}_n^{-1}(0)$ is $\leq \varepsilon$ but error on $\text{Majority}_n^{-1}(1)$ is as large as $1/50$?

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Second idea: random restrictions for $AC^0[\oplus]$

► We prove the following lemma:

Random Restriction Lemma. Let C be a depth-2 $AC^0[\oplus]$ circuit on n vars and of size $s \geq n^2$. Let $p_* \leq 1/(500 \log s)$. Then,

$$\mathbb{P}_{\rho \sim \mathcal{R}_{p_*}^n} [\deg_{\varepsilon=1/s^2}(C|\rho) > 10 \log s \mid \rho \text{ is balanced}] < \frac{1}{10s}.$$

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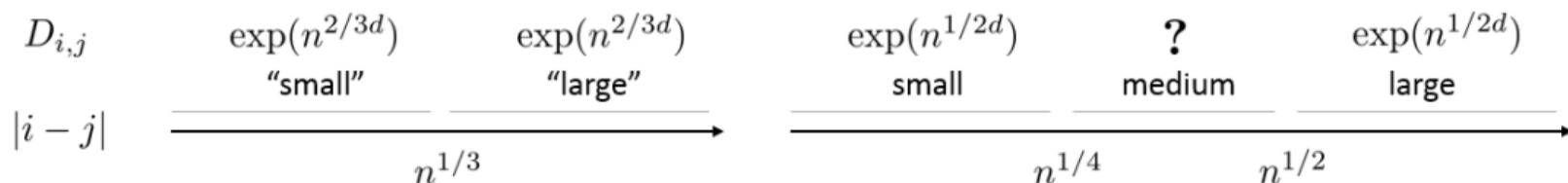
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Concluding Remarks

Challenge: What is the $AC^0[\oplus]$ complexity of Majority?

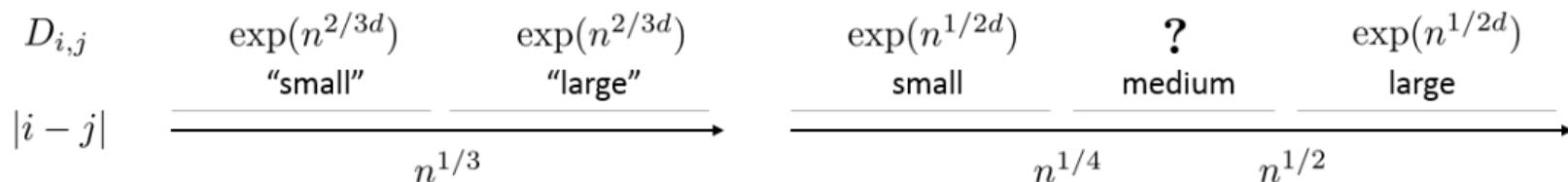
► Close the gap between the $2^{\tilde{O}\left(n^{\frac{2}{3} \cdot \frac{1}{(d-4)}}\right)}$ upper bound and the $2^{\Omega\left(n^{1/(2d-4)}\right)}$ lower bound.



► Find more examples where the "optimal" algorithm or circuit can be improved.

Challenge: What is the $AC^0[\oplus]$ complexity of Majority?

- ▶ Close the gap between the $2^{\tilde{O}\left(n^{\frac{2}{3} \cdot \frac{1}{(d-4)}}\right)}$ upper bound and the $2^{\Omega\left(n^{1/(2d-4)}\right)}$ lower bound.



- ▶ Find more examples where the “optimal” algorithm or circuit can be improved.