

Complete Derandomization of Identity Testing of Read-Once Formulas

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Outline

1 Introduction

Outline

- 1 Introduction
- 2 Previous Results

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- 3 Our Model

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- 4 Read-Once Polynomials

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- Allowed actions

Succinct Representation

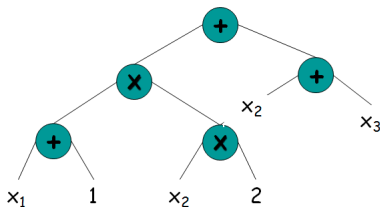
Succinct Representation

Definition

An arithmetic formula is a directed tree from variables (x_1, \dots, x_n) to an output. Each leaf is assigned a variable or field element and each internal node, or gate, is assigned an operation $+$ or \times .

Idea: Model small computational devices

Figure: Example of an Arithmetic Formula for $(x_1 + 1)(2x_2) + x_2 + x_3$



Black-Box Setting

- We **cannot** see the formula.

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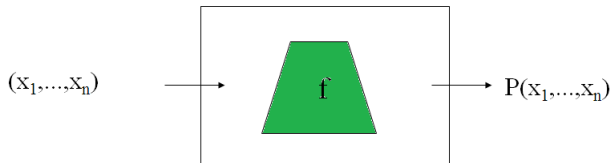
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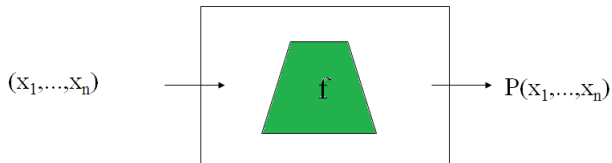
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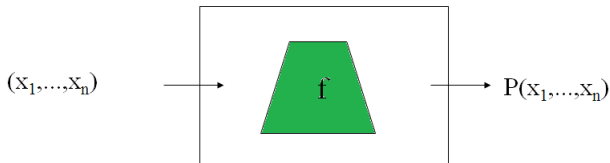
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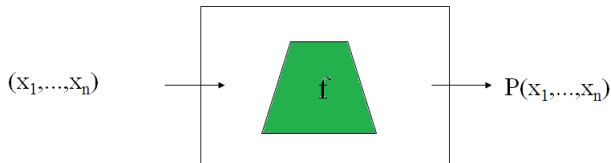
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Definition (Hitting Set)

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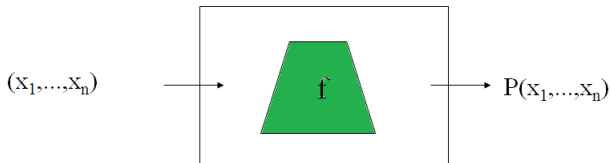
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- \mathcal{H} gives an algorithm that runs in time $O(|\mathcal{H}|)$.
- Goal: find a small hitting set for polynomials computed by small formulas.

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Various application in Complexity Theory and Algorithm Design:

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- This does not provide a deterministic algorithm but suggests that one might exist.

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I have a truly marvelous proof of these lower bounds which this slide is too small to contain.

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- Bounded-read formulas:
[ASS12, AvMV15, SV15, FS13, AFS⁺16]

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The set $\{0, 1\}^n$ is a hitting set for MPs.

Definition (Read-Once Polynomial)

A polynomial that can be expressed by an arithmetic formula f such that no variable appears more than once. For example, $x_1x_2 + x_1x_3$ but not $x_1x_2 + x_2x_3 + x_3x_1$.

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Theorem (Our Result)

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Results and Implications

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There exists a deterministic algorithm that given a black-box access to a ROP outputs a ROF for it in polynomial time.

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Theorem (Main: Hitting Set for ROPs)

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Theorem (Corollary 2 from [SV15])

For every $k \in \mathbb{N}$ there exists an explicit hitting set of size $n^{O(k)}$ for sums of k ROPs.

Approach

Definition (Generator)

Pick some $k \in \mathbb{N}$ with $k \ll n$. Let $\mathcal{C} \subseteq \mathbb{F}[x_1, \dots, x_n]$. A *generator* for \mathcal{C} is a polynomial map $G : \mathbb{F}^k \rightarrow \mathbb{F}^n$ such that $\forall P \in \mathcal{C}$, we have $P(G) \equiv 0 \iff P \equiv 0$.

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Lemma (Hitting Set for General Polynomials)

Let $P \in \mathbb{F}[x_1, \dots, x_n]$ where the degree of each variable in P is bounded by some $d \in \mathbb{N}$. For any set $S \subseteq \mathbb{F}$ of size at least $d + 1$, $\exists \bar{a} \in S^n$ such that $P(\bar{a}) \neq 0$.

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Lemma (From Generator to Hitting Set)

Suppose the individual degrees of each component function of G are bounded by some $d \in \mathbb{N}$. Then we can decide if $P(G) \equiv 0$ in time $(nd)^{O(k)}$.

Previous Generator

Definition (The Generator of [SV09])

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Theorem (Our Result - Polynomial PIT)

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High Level Idea

Definition (Homogenous Polynomial)

A polynomial $P \in \mathbb{F}[x_1, \dots, x_n]$ is called *homogeneous* if every term in the polynomial has the same total degree.

Lemma (Generator for Homogeneous ROPs)

$G_{n,1}$ is a generator for homogeneous ROPs.

Lemma (From Homogeneous ROPs to General ROPs)

If $P \in \mathbb{F}[x_1, \dots, x_n]$ is a ROP, then so is $H_{\deg(P)}(P)$.

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If $P \in \mathbb{F}[x_1, \dots, x_n]$ is a homogeneous ROP with $n \geq 2$, then $\exists P_1, P_2$ non-constant, variable-disjoint homogeneous ROPs s.t:

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- 0 Linear Case (Base case): $P = c_1x_1 + \dots + c_nx_n$.

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Pick $\{\alpha_1, \dots, \alpha_n\} \subseteq \mathbb{F}$. Let $\mu_i(y)$ the i^{th} Lagrange Interpolation Polynomial. Let $G_{n,1}(y, z) = (\mu_1(y)z, \dots, \mu_n(y)z)$.

Lemma (Homogenous ROP Structural Lemma)

If $P \in \mathbb{F}[x_1, \dots, x_n]$ is a homogeneous ROP with $n \geq 2$, then $\exists P_1, P_2$ non-constant, variable-disjoint homogeneous ROPs s.t:

- ① $P = P_1 \cdot P_2$
- ② $P = P_1 + P_2$

By induction on n :

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- ② **Additive Case:** Main technical contribution of the paper.

Conclusion & Open Questions

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There exists a polynomial-time black-box PIT algorithm for read-once formulas.

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- Polynomial-time black-box PIT algorithm for read-twice formulas?

Thank you!

Thank you!



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